



THE DORMSTON SCHOOL

Whistleblowing Policy

Date	Review date	Lead	Nominated Governor or Committee
09.07.2025	09.07.2027	Headteacher	Chair of Governors
			Ratified:
			Date: 09.07.25
			Website: Yes

1.0 Introduction

The Dormston School is committed to the highest possible standards of openness, probity and accountability. In line with that commitment we expect employees, volunteers, and anyone associated with the school who has serious concerns about any aspect of practices encountered with the school to come forward and voice those concerns without fear of reprisals.

- 1.1 This policy is to support employees wanting to raise such issues. It is recognised that certain cases will have to proceed on a confidential basis.
- 1.2 Confidentiality is guaranteed at the point of making a protected disclosure and will be maintained during investigations and hearings other than where there is a need for disclosure of identity due to cross examination of the accused employee as a witness in any subsequent procedure.
- 1.3 Whistleblowing does not have to be directly to the employer. An employee can inform other bodies such as the police in cases of fraud and does not have to inform the employer. The Whistleblowing Act ensures that the whistleblower will be anonymous and confidentiality is protected unless the disclosure is proven to be vexatious.
- 1.4 Whilst this policy is intended to encourage employees to raise serious concerns within the school, it does not override workers' legal rights to make a protected disclosure to certain third parties under the Public Disclosures Act 1988 (PIDA). Employees must reasonably believe that their disclosures are made in the public interest.
- 1.5 A purpose of the policy is to give all employees the confidence to come forward through agreed procedures and without fear of recrimination, to bring to the attention of the Headteacher, line manager, Chair of Governors or members of the Governing Board, or to external bodies (e.g. Local Authority, Police) any serious impropriety, breach of procedure or raise issues of concern. The issues covered by the policy include things that may be:
 - Unlawful or a criminal offence;
 - Fraudulent or improper use of the school's money or assets;

- Abuse of students or of other employees;
- Breaches of child protection policy/legislation;
- Breach of Health and Safety policy/legislation including dangerous practices at work;
- Receiving any gift or advantage that demonstrates a conflict of interest;
- A miscarriage of justice;
- Damage to the environment;
- Concealment of any of the above.

1.6 A qualifying disclosure under PIDA is defined as:

“any disclosure of information which, in the reasonable belief of the person making the disclosure is made in the public interest and tends to show one or more the following:

- That a criminal offence has been committed, is being committed or is likely to be committed;
- That a person has failed, is failing or is likely to fail to comply with any legal obligation to which s/he is subject;
- That a miscarriage of justice has occurred, is occurring or is likely to occur;
- That the health or safety of any individual has been, is being or is likely to be endangered;
- That the environment has been, is being or is likely to be damaged;
- That information tending to show any matter falling within any one of the preceding paragraphs has been or is likely to be deliberately concealed.”

2.0 What is Whistleblowing?

Whistleblowing law is in the Employment Rights Act 1996 (as amended by the Public Interest Disclosure Act 1998). It provides the right for an employee to take a case to an employment tribunal if they have been victimised at work or they have lost their job because they have ‘blown the whistle’.

3.0 Scope

This policy applies to governors, management, all employees, volunteers and external contractors.

4.0 Aims

4.1 The aim of this policy is to provide safe and confidential avenues for all employees, volunteers and those associated with The Dormston School to notify the Chair of Governors, Headteacher, School

Business Manager, senior leaders, line managers, governors or other responsible person of any reasonable suspicion of illegal or improper conduct. The procedure requires all employees to act responsibly to uphold the reputation of the school and to help maintain public confidence. It is a procedure in which the Chair of Governors and/or Headteacher will be expected to act swiftly and constructively in the investigation of any concerns in accordance with school's disciplinary procedure. However, concern about a colleague's professional capability should not be dealt with using this procedure.

4.2 It will result in:

- Staff and other stakeholders being aware of how to express concerns regarding suspicion of bad practice;
- A recognition that systems are in place to prevent victimisation, harassment and/or intimidation of staff who raise concerns;
- An atmosphere that enables and encourages staff to raise serious concerns;
- A clear and understandable process regarding taking issues of concerns further if they are not satisfied with the response and provide feedback at all stages regarding progress being made.

5.0 When should it be used?

5.1 An employee who is not sure whether the conduct he/she is concerned about does constitute illegal or improper conduct or is unsure about how to proceed can contact their trade union representative or HR representative.

5.2 The procedure is not designed to replace or be used as alternative to the grievance procedure, which should be used where an employee is only aggrieved about his/her own situation. Employees who are worried about wrong doing do not necessarily have a personal grievance.

5.3 Financial regulations require any employee who suspects fraud, corruption or other financial irregularity to ensure this is reported to the School Business Manager or in certain cases, the Local Authority and/or Education & Skills Funding Agency (ESFA) for possible investigation. Normally you must first report any suspicion of such an irregularity to the Headteacher, who will in turn report it to the School Business Manager. In most cases, this will be done through your line management structure. In addition, advice should be

sought from your professional body if you have one.

6.0 Confidentiality/Anonymity

- 6.1 It is recognised that the person raising the concern may wish to raise a concern in confidence. Individuals who raise concerns will not have their identity disclosed without their prior consent. It must be appreciated, however, that in some situations the investigation process may not be concluded unless the source of the information and a statement by the individual is produced as part of the evidence.
- 6.2 This policy encourages the person raising the concern to put their name to any allegation. Concerns expressed anonymously are more difficult to investigate but will still be investigated. The factors to be considered would include:
- The seriousness of the issued raised;
 - The credibility of the concern;
 - The likelihood of confirming the allegation from attributable sources.
- 6.3 If an allegation is made but subsequently, following an investigation, not upheld then no action will be taken against the instigator providing they:
- Reasonably believe that their disclosures are made in the public interest;
 - Believe that the information disclosed, and any allegation contained in it, is substantially true.
- 6.4 It should be noted that if a malicious or false allegation is made by members of staff, disciplinary action may be considered.
- 7.0 Where the issue concerns the Headteacher or having made a report it is believed that he/she has failed to take appropriate action, it should be brought to the attention of the Chair of Governors. (See Safeguarding noticeboard for contact details)
- 7.1 Where the issue concerns the Chair of Governors it should be brought to the attention of the Headteacher.
- 7.2 Where the issues concern the School Business Manager, it should be brought to the attention of the Headteacher.

- 7.3 Where the issue concerns a member of staff, other than the Headteacher, it should be brought to the attention of the Headteacher or Chair of Governors. (See Safeguarding noticeboard for contact details)
- 7.4 Employees who feel unable to follow this route, for whatever reason, should contact their trade union representative. In addition, concerns can be raised with our Local Authority auditors in the case of financial concerns, or the Designated Safeguarding Lead and/or Local Authority Designated Officer in the case of safeguarding concerns. (See Safeguarding noticeboard for contact details)
- 7.5 Depending on the nature of the concern the complainant will be asked to provide evidence of information that supports their claim. Normally the complainant will be asked to do this in writing. It would, therefore, be helpful to note down any facts and dates as they happen.
- 7.6 Employees who want to use the procedure but feel uneasy about it may wish to consult their trade union initially and bring a friend or trade union representative along to any discussions, so long as the third party is independent of the issue.
- 7.7 Where anonymity is requested efforts will be made to meet the request where appropriate but that might not always be possible. The earlier and more open the expression of concern the easier it will be to take appropriate action.
- 7.8 Each case will be investigated thoroughly with the aim of informing the complainant of the outcome of any investigation as quickly as possible.

8.0 Guidelines

- 8.1 Whenever possible, concerns should be raised in writing and should set out the background and history of the concern, giving names, dates and places if known, as well as the reason why the individual is particularly concerned about the situation. Those who do not feel able to put their concern in writing can meet their line manager.

- 8.2 The earlier the concern is expressed, the easier it is to take action. Although those raising a concern will not be expected to prove the truth of an allegation, they will need to demonstrate to the person contacted that there is sufficient ground for concern.
- 8.3 Individuals may seek advice and support and invite their trade union or professional association to raise a matter on their behalf. This would be welcomed and encouraged by the school. If an employee makes a disclosure to a trade union rep or official, this will be treated as if it were a disclosure to the employer. Where a trade union representative or official raises the issue on behalf of an employee, the employee will continue to be protected by their legal right to make a protected disclosure and the trade union representative will, by extension, be afforded the same protection.

9.0 How the disclosure will be dealt with

- 9.1 The action taken by the school will depend on the nature of the concern. The Chair of Governors/Headteacher/line manager will ensure that the concern is:
- Taken seriously;
 - Investigated internally and an objective assessment of the concern made; any investigation will be undertaken paying due regard to confidentiality;
 - Reported back to the employee or trade union representative/official as to the progress made by a named person/agency appointed to investigate;
 - A clear timeline set out;
 - The subject of a written report compiled and shared with the Chair of Governors/Headteacher and/or Governing Board.
- 9.2 Any employee who approaches the media with their concerns is likely to lose their rights under whistleblowing law. In doing so an employee cannot be acting for personal gain and must reasonably believe the information they disclose is substantially true. If an employee bypasses this internal procedure or a prescribed person before contacting the media, they must reasonably believe that the organisation will subject them to detriment for making the disclosure externally without going through the internal procedures.

10.0 Protection and Support for Whistleblowers

- 10.1 The Dormston School aims to encourage openness and will support whistleblowers who raise genuine concerns under this policy, even if they turn out to be mistaken.
- 10.2 Whistleblowers must not suffer any detrimental treatment because of raising a genuine concern. If you believe that you have suffered any such treatment, you should inform the Headteacher and your trade union representative immediately. If the matter is not remedied, you should raise it formally using the relevant Grievance Procedure.
- 10.3 If the school conclude that a whistleblower has made false allegations maliciously or with a view to personal gain, the whistleblower may be subject to disciplinary action (if they are member of staff).

11.0 Protection against harassment and victimisation

- 11.1 The school recognises that the decision to report a concern can be a difficult one to make, not least because of the fear of reprisal from those responsible for the malpractice. The Dormston School will not tolerate harassment or victimisation and will act to protect the employee when s/he has raised a concern.
- 11.2 This does not mean that if the employee is already the subject of disciplinary or redundancy procedures, those procedures will be halted because of confidential reporting. If an employee feels they have been treated unfairly as a result of making a disclosure, then they can decide to take the case to an employment tribunal. It is strongly advisable for any employee considering this course of action to seek advice from their trade union representative.

12.0 Monitoring/Evaluation

A record of all concerns raised under this policy and the outcomes of any investigations will be recorded and maintained confidentially.